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# Surface Science

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# Dim C<sub>60</sub> fullerenes on Si(111) $\sqrt{3} \times \sqrt{3}$ – Ag surface

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#### ABSTRACT

Scanning tunneling microscopy (STM) observations of the close-packed  $C_{60}$  fullerene arrays on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface have revealed the presence of dim  $C_{60}$  molecules which constitute 9–12% of all fullerenes. The dim  $C_{60}$  fullerenes reside ~1.6 Å lower than the bright ("normal")  $C_{60}$ . While the bright  $C_{60}$  are in continuous rotation, the dim  $C_{60}$  are fixed in one of the single orientations, indicating a more tight bonding to the surface. At room temperature (RT), the dynamic switching from bright to dim  $C_{60}$  and vice versa has been detected. Switching slows down with decreasing temperature and becomes completely frozen at 110 K, which implies that the switching is a thermally driven process. RT deposition of ~0.1 monolayer of Ag onto  $C_{60}$  array eliminates completely the dim  $C_{60}$  molecules. Experimental results can be understood if one assumes that formation of the dim  $C_{60}$  is associated with disintegration of Ag trimer on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface under a given  $C_{60}$  fullerene.

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# 1. Introduction

C<sub>60</sub> monolayers on crystalline surfaces have attracted a considerable interest due to their rich structural and electronic properties, which make them a promising material for molecular electronics. Interaction between  $C_{60}$  and substrate surface differs for different substrates and affects greatly electronic properties of the grown  $C_{60}$ layers. Within the same molecular layer, the fullerenes with the different bonding configurations can exhibit different properties. A vivid example is a scanning tunneling microscopy (STM) observation of the fullerenes having different apparent heights, so-called "bright" and "dim"  $C_{60}$  molecules [1,2]. The commonly accepted explanation for metal surfaces is that the bright  $C_{60}$  fullerenes reside atop the surface, hence having a weak bonding configuration, while the dim C<sub>60</sub> fullerenes are characterized by a strong bonding configuration with the molecules occupying nanopits (vacancies). The size of a pit depends on the substrate material [3] (e.g., a single-atom vacancy pit for  $C_{60}$  on Ag(111) [4] or Pt(111) [5] and a seven-atom vacancy for  $C_{60}$  on Cu(111) [6] or Au(111) [7]). Pit formation enhances C<sub>60</sub>-substrate bonding energy and facilitates charge transfer from the surface to C<sub>60</sub> by adopting more C<sub>60</sub>-metal bonds.

Compared to pure metal surfaces, much less is known about  $C_{60}$ interaction with metal/silicon surface phases, i.e. surface reconstructions induced by adsorption of metal monolayers or submonolayers onto Si crystalline substrates [8]. The most researches in this field have been devoted to adsorption of C\_{60} onto Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface [9-15] due its high perfection and chemical inertness. The other metalterminated Si surfaces hosting C<sub>60</sub> molecules under investigation include Si(111)  $\sqrt{3} \times \sqrt{3}$  – B [16], Si(111)1×1-Pb [17], Si(111)  $\sqrt{3} \times$  $\sqrt{3}$  – In [18], Si(111)2×2-In and Si(111)  $\sqrt{7} \times \sqrt{3}$  – In [19], Si(111)  $4 \times 1$ -In [20], and pure and In-modified Si(111)  $\sqrt{3} \times \sqrt{3}$  – Au [21]. Adsorption behavior of C60 on metal-terminated Si surfaces resembles in some aspects that on pure metal surfaces, but similarity is not complete. For example,  $C_{60}$  molecules adsorbed onto Si(111)2×2-In, Si(111)  $\sqrt{7} \times \sqrt{3}$  – In and Si(111)4×1-In surfaces displace In atoms from beneath  $C_{60}$  to the surrounding surface area [19,20], which is similar to vacancy pit formation on metal surfaces. However, only for C<sub>60</sub> on  $Si(111)4 \times 1$ -In indium displacement definitely leads to occupation of a strongly bonded adsorption state by C<sub>60</sub> having dim STM appearance [20]. It is worth noting that the plausible driving force for In displacement is a tendency of  $C_{60}$  to change relatively modest bonding with In atoms to a more stronger bond with Si(111) substrate. In the case of Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag [11] and Si(111)  $\sqrt{3} \times \sqrt{3}$  – Au surfaces [21], specific dim-bright C<sub>60</sub> patterns develop in the molecular layers, though the underlying surface reconstructions seem to preserve their structure undisturbed. In particular, the dark features are seen within the C<sub>60</sub> arrays on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag which were interpreted

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as missing-molecule defects generated to release of strain energy at the molecular layer/substrate interface [11].

In the present study, we have reconsidered the "missing-molecule defects" in C<sub>60</sub> arrays on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface and have found that they are actually the dim C<sub>60</sub> molecules which are in a more tight bonding configuration and reside ~1.6 Å lower than the other C<sub>60</sub> molecules. At room temperature, dynamical bright-to-dim C<sub>60</sub> switching and vice versa takes place. Switching has been found to be a thermally driven process: it slows down with decreasing temperature and becomes completely frozen at 110 K. The density of dim C<sub>60</sub> decreases with RT Ag dosing of the C<sub>60</sub> array and they are completely eliminated after depositing ~0.1 ML of Ag. The plausible mechanism of dim C<sub>60</sub> formation is associated with the disintegration of Ag trimer on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface under a given C<sub>60</sub> molecule.

# 2. Experimental

Our experiments were performed with an Omicron STM operating in an ultrahigh vacuum (~7.0×10<sup>-11</sup> Torr). Atomically-clean Si(111) 7×7 surfaces were prepared in situ by flashing to 1280 °C after the samples were first outgassed at 600 °C for several hours. Silver was deposited from an Ag-wrapped tungsten filament and C<sub>60</sub> fullerenes from a resistively heated Mo crucible. Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface was prepared by depositing 1 monolayer of Ag onto the Si(111)7×7 surface kept at 500 °C. The main defects at the prepared surface were the domain walls, while the density of point defects at the  $\sqrt{3} \times \sqrt{3}$  – Ag domains was negligible (~0.001 ML). For STM observations, electrochemically etched tungsten tips cleaned by in situ heating were employed. All STM images shown in the paper were acquired in the constant-current mode at room temperature.

# 3. Results and discussion

Fig. 1 shows a typical STM image of C<sub>60</sub> monolayer grown on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface at room temperature. In agreement with the previous STM observations [12], one can see that adsorbed C<sub>60</sub> molecules form two types of close-packed molecular arrays on this surface. Tsuchie et al. [12] defined them as  $\sqrt{21} \times \sqrt{21} - R \pm 10.9^{\circ}$  and  $3\sqrt{3} \times 3\sqrt{3} - R30^{\circ}$ . In our notation (which seems to us more accurate), these would be 19.1°-rotated and 30°-rotated arrays, respectively. Note that the rotation angle is that which C<sub>60</sub> row makes with the main crystallographic direction on Si(111) surface,  $[\overline{110}]$ . One can see



**Fig. 1.**  $1000 \times 820$  Å<sup>2</sup> empty-state (+2.0 V) STM image of Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface with small inclusions of 6×1-Ag phase after RT deposition of about one monolayer of C<sub>60</sub> fullerenes. Two types of C<sub>60</sub> arrays, 19.1°-rotated and 30°-rotated ones, are indicated.

that the dark features interpreted as "missing-molecule defects" by Nakayama et al. [11] are present in arrays of both types. Fraction of dark features is somewhat greater in the 30°-rotated arrays as compared to the 19.1°-rotated arrays (~0.12 versus ~0.09). Another apparent difference is the different arrangements of dark features. In the 19.1°-rotated, they form relatively long meandering chains or compact arrays without any long-range order, while in the 30°-rotated domains the short chains are arranged in a long-range quasi-periodic structure.

The results of high-resolution dual-polarity STM observations (see Fig. 2a) unambiguously demonstrate that dim  $C_{60}$  fullerenes are not missing-molecule defects, but  $C_{60}$  molecules in another bonding state. While the bright ("normal")  $C_{60}$  are plausibly in continuous rotation (producing a single round protrusion in STM images in both polarities), the fine intermolecular structure of dim  $C_{60}$  resolved in the filled-state STM images (as in the lower part of Fig. 2a) indicates that they are fixed in one of the several types of orientations (e.g., resulting in characteristic "three-lobe" and "striped" STM appearance). Fixation of the dark fullerenes in given orientations is a sign of their enhanced bonding to the substrate, as compared to that of the freely rotating bright  $C_{60}$ .

Fig. 3 demonstrates how the apparent height difference between bright and dim  $C_{60}$  depends on the applied sample bias voltage. One can see that the height difference is rather large (being ~1.6±0.3 Å) and of the same sign at both polarities. Thus, one can conclude that it has mainly a topographical origin, i.e. the dim  $C_{60}$  molecules reside lower than the bright  $C_{60}$ . This seems quite natural for the more strong bonding state. However, one can notice a certain variation between the apparent height difference in filled and empty states. In particular, the difference is enhanced at positive sample bias (which is similar to the observations on  $C_{60}$ /Au(111) [1]). This is plausibly due to the electronic effects (e.g., due to difference in charge transfer to  $C_{60}$  occupying different bonding states).

By comparing consecutive STM images acquired at RT we have found that selected  $C_{60}$  molecules switch from bright-to-dim or vice versa. The switching takes place in a similar way both in the 19.1°-rotated and 30°-rotated  $C_{60}$  arrays. As an example, Fig. 4 presents the results for the 19.1°-rotated array. Fig. 4a–d show four successive STM images acquired from the same area of the  $C_{60}$  array with 20 s separation per scan (for a whole STM video see [22]). Fig. 4e–g shows subtractions of consecutive images, where white and dark spots correspond to the bright-to-dim and dim-to-bright switching events, respectively. One



**Fig. 2.** (a) 115×160 Å<sup>2</sup> dual-polarity (±2.0 V) STM image of C<sub>60</sub> array on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface. The dim C<sub>60</sub> are seen as depressions in the empty-state image (upper panel), but their intermolecular structure can be clearly resolved in the filled-state image (lower panel). The typical intermolecular structures include (b) triple-striped, (c) double-striped, and (d) three-lobe ones.



**Fig. 3.** Apparent height difference between bright ("normal") and dim  $C_{60}$  as a function of sample bias voltage. The insets show STM appearance of  $C_{60}$  array at -1.0 and +1.0 V. Acquisition of the STM images at bias voltages from -1.0 V to +1.0 V was hampered due to the presence of the energy gap between the HOMO and LUMO bands of the  $C_{60}$  layer.

can see that some of the dim  $C_{60}$  "jump" to the nearest lattice sites in the array (which events manifest themselves as pairs of white and dark spots), but many of them disappear and appear quite randomly. The variable-temperature observations have revealed that switching slows down with decreasing temperature and becomes completely frozen at 110 K [22]. This result implies that the switching is a thermally driven process.

Some details of the switching have been visualized in the experiment when an STM tip is placed above a given  $C_{60}$  and the tunneling current is monitored during a certain period of time. The typical plot of current versus time is shown in Fig. 5. Abrupt drop in the tunneling current highlights the bright-to-dim switching event, the low current plateau corresponds to the dim  $C_{60}$  state of the molecule and abrupt current increase indicates returning of the molecule to the bright (normal)  $C_{60}$  state. One can notice an essential difference between the two adsorption states. In the dim  $C_{60}$  state, the current value is stable, hence the molecule is tightly fixed in its site. In the bright  $C_{60}$  state, the current is fluctuating quasi-periodically, hence the molecule plausibly changes its vertical location.

Remarkable possibility for tuning the density of dim C<sub>60</sub> has been disclosed in the experiment with RT dosing of the  $C_{60}$  arrays on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag with additional Ag. The results of this experiment are summarized in Fig. 6. One can see that after Ag depositions no Ag-associated features appear at the surface of the C<sub>60</sub> layer, which implies that Ag atoms penetrate through molecular layer to the buried layer-substrate interface in agreement with the previously reported results [23]. However, these depositions appear to affect dramatically the density of dim C<sub>60</sub> molecules which decreases with Ag dosing in a similar manner for both types of arrays. After depositing ~0.1 ML of Ag, no dim C<sub>60</sub> are left in the arrays. In particular, this allows us to visualize the Moiré pattern in the 30°-rotated C<sub>60</sub> arrays, which are otherwise masked by the presence of dim C<sub>60</sub> molecules. In both polarities, the Moiré pattern has a honeycomb-like appearance with darker molecules forming hexagons and brighter molecules surrounding them. The periodicity of the Moiré pattern appears to be about  $\sqrt{19} \times \sqrt{19}$ . Note that the same periodicity has been found for the Moiré pattern in 0°-rotated C<sub>60</sub> arrays on In-modified Si(111)  $\sqrt{3} \times \sqrt{3}$  – Au surface, though the pattern there has quite a different appearance (the bright C<sub>60</sub> residing atop Au trimers arranged in the  $\sqrt{19} \times \sqrt{19}$  lattice [21]).

Unusual effect of Ag dosing on dim C<sub>60</sub> density is believed to provide the most valuable hint for understanding origin of the dim C<sub>60</sub> molecules. Thus, let us consider now how added Ag could affect the properties of the buried layer–substrate interface. The first guess is certain electronic effects since adsorption of additional Ag atoms onto Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag is known to produce significant doping of surface-state band [24–26]. However, this is a specific feature not



**Fig. 4.** (a)–(d) A series of four consecutive STM images of the same  $C_{60}$  array area  $(300 \times 300 \text{ Å}^2)$  acquired at RT. Selected  $C_{60}$  molecules are seen to switch from bright-to-dim and vice versa. (e)–(g) Subtractions of consecutive images, where white and dark spots correspond to the bright-to-dim and dim-to-bright switching events, respectively.

only of Ag but also is a common trait of alkali metals (e.g., Na [27–30]) which produce a similar electronic effect. Thus, one could expect that deposited Na would affect the dim C<sub>60</sub> fraction in a same way as additional Ag. To check this possibility, we conducted an experiment with RT Na deposition onto C<sub>60</sub> layer on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag which result is presented in Fig. 7. One can see that in contrast to Ag the adsorbed Na does not eliminate dim C<sub>60</sub> fullerenes. Decrease, if any, in their density does not exceed 20%. Thus, one can conclude that electronic effects could hardly play a decisive role in the observed phenomenon.

In addition, we would like to note that the C<sub>60</sub> molecule is known to act typically as an acceptor-type adsorbate on most surfaces, including Si(111)  $\sqrt{3} \times \sqrt{3} - \text{Ag}$  [15,23]. Thus, electrons which would be otherwise donated from metal adsorbates, Ag or Na, to the surface state band might become trapped by the C<sub>60</sub> molecules. Thus, possible electronic



Fig. 5. Tunneling current between STM tip and C<sub>60</sub> molecule as a function of time. The low-current values correspond to the fullerene in the dim C<sub>60</sub> state, the noisy high-current value to the bright (normal) C<sub>60</sub> state.

effects induced by metal adsorbates might be suppressed by the fullerenes. This consideration can be treated as an additional argument against the decisive role of electronic effects in elimination of the dim  $C_{60}$  fullerenes.

In other words, the appearance of dim  $C_{60}$  has plausibly an atomic origin. We would like to remind that dim  $C_{60}$  molecules on metal

surfaces have been proved to be associated with pits formed at the surface under the fullerenes. In case of  $C_{60}$  on Au(111), these are sevenatom vacancies [7]. Dynamical switching from bright-to-dim  $C_{60}$  and vice versa observed in this system at RT [1] implies that seven-atom vacancy can appear, heal over and reappear again under given  $C_{60}$ . Similar process has recently been characterized quantitatively for a



**Fig. 6.** Effect of RT deposition of additional Ag on the density of dim  $C_{60}$ . (a)  $500 \times 500$  Å<sup>2</sup> empty-state (+2.0 V) STM image of the as prepared  $C_{60}$  array on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag. The same array after RT deposition of (b) 0.05 and (c) 0.10 ML of Ag. A plot of dim  $C_{60}$  fraction as a function of Ag dose for the 30°-rotated (blue squares) and 19.1°-rotated (red circles)  $C_{60}$  arrays.



**Fig. 7.** 1000×1000 Å<sup>2</sup> empty-state (+1.8 V) STM images of the C<sub>60</sub> layer on Si(111)  $\sqrt{3} \times \sqrt{3} - Ag$  (a) before and (b) after RT deposition of Na. Images are taken from different surface areas.

dynamic equilibrium of  $C_{60}$  molecules on Ag(111) [2]. Such a behavior reflects a flexibility of the metal surfaces. Metal-induced silicon reconstructions are typically more solid. However, this concerns mainly a Si frame, while metal overlayers demonstrate a much greater degree of flexibility (e.g., as shown for Ag/Si(100) [31]). The Si(111)  $\sqrt{3}$  ×  $\sqrt{3}$  – Ag surface is known to be built of Ag and Si trimers of which the Ag trimers are believed to be "the weakest link". In particular, agility of Ag atoms shows up in the order-disorder transition occurring in the Ag-trimer structure at ~150 K [32-34]. Thus, it is natural to suppose that Ag trimers can disintegrate into individual Ag adatoms and the density of disintegrated Ag trimers is controlled by the density of 2D gas of Ag adatoms present at the surface. The higher the density of adatoms (say, as a result of additional Ag deposition) the lower the density of disintegrated trimers (and/or their life time). Following this logic, the dim C<sub>60</sub> fullerenes are those molecules which reside atop disintegrated Ag trimers. As the Ag atoms are absent under these fullerenes, they sink deeper by ~1.5 Å (Fig. 3), which is actually an atom scale. In this position, the fullerene adopts a stronger bonding with Si substrate atoms which fixes the molecule in a given orientation, as observed in the experiment (Fig. 2). An additional argument that sounds supportive for the proposed mechanism of dim C<sub>60</sub> formation stems from the results of calculations in Ref. [35] which show that the most favorable diffusion pathway for Ag adatom on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface is the exchange with Ag adatom constituting the Ag trimer that suggests a possibility for temporal disintegration of Ag trimer.

An indirect confirmation for the proposed origin of dim C<sub>60</sub> has been gained with the help of ab-initio random structure search (AIRSS) calculations [36,37] where the most principal results are summarized in Fig. 8. In order to simulate the C<sub>60</sub> monolayer on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface, we have used the supercell models of Si(111)  $\sqrt{21} \times \sqrt{21}$  – Ag surface without and with a missing-trimer defect, as shown in Fig. 8a and b, respectively. Three C<sub>60</sub> molecules adsorb on Si-trimer, small Ag-trimer (or missing-trimer), and large Ag-trimer sites of Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag. The computational details are described in Supplemental material 2 [38]. In the calculations, the most stable adsorption configurations have been evaluated for C<sub>60</sub> residing atop Ag-trimer (Fig. 8c) and missing-trimer defect (Fig. 8d). One can see that disintegration of small Ag trimer is simulated by expanding Ag-Ag "bond length" from normal 2.85 Å to abnormal 5.44 Å. This rebonding has been found to be characterized by an energy increase of 0.4 eV. However, adsorption energy of C<sub>60</sub> occupying the missing-trimer defect appears to be 1.1 eV greater than that of  $C_{60}$  above small Ag trimer. This result is consistent with the experimental finding that dim  $C_{60}$ molecules are in a more tightly bonding state than other molecules. Moreover, it can be treated as supportive for the suggestion that the presence of  $C_{60}$  can facilitate (and, at least, justify) disintegration of Ag trimer under the fullerene. Close examination [38] of the bonding configurations reveals that C<sub>60</sub> adsorption on the small Ag-trimer site disintegrates the average Ag – Ag bond distance from ~3.0 Å to ~8.0 Å and  $C_{60}$  in this configuration resides ~1.4 Å lower than  $C_{60}$  sitting atop normal small Ag trimer. In the calculations where Ag atoms of the original trimer were removed away from the cell, the height difference was 1.7 and 1.5 Å for  $C_{60}$  occupying originally the small and large Ag trimers, respectively. One can see that these evaluations are in good quantitative agreement with the height difference measured with STM (Fig. 3).

With this suggestion, let us consider the origin of tunneling current fluctuations when the fullerene is in the bright C<sub>60</sub> bonding state. Remind that the measurements were conducted at RT, i.e., above the order-disorder transition. It has been established that at such a temperature the inequivalent triangle (IET) model remains valid, but the surface structure is fluctuating between the two topologically different IET states, so-called IET(+) and IET(-) [33,34]. Thus, if  $C_{60}$  resides above an Ag trimer, the latter is changing continuously from large to small Ag trimer and vice versa. Note that C<sub>60</sub> adsorption energy has to change with these fluctuations (by ~0.17 or ~0.19 eV according to reported [15] and our calculations [38], respectively). Thus, the current fluctuations in Fig. 5 can be attributed to the change in the  $C_{60}$  bonding configuration due to transitions between IET(+) and IET(-) states. Estimation of periodicity of fluctuations yields a rate of ~15 Hz. It, however, remains unclear if the presence of C<sub>60</sub> affects the transition rate between IET(+) and IET(-) or not.

### 4. Conclusion

In conclusion, we have found that the dark features in the  $C_{60}$  arrays on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag which were interpreted earlier as missing-molecule defects [11] are actually dim  $C_{60}$  molecules which are in a more tight bonding configuration and reside ~1.6 Å lower than the other  $C_{60}$  molecules. At room temperature, the dynamic switching from bright to dim  $C_{60}$  and vice versa takes place. Switching slows down with decreasing temperature and becomes completely frozen at 110 K, which implies that the switching is a thermally driven process. Deposition of ~0.1 monolayer of Ag onto  $C_{60}$  array eliminates completely the dim  $C_{60}$  molecules. Experimental results can be understood if one assumes that formation of the dim  $C_{60}$  is associated with disintegration of Ag trimer on Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface under a



Fig. 8. Structural models of the Si(111)  $\sqrt{3} \times \sqrt{3}$  – Ag surface (a) without and (b) with a missing-trimer defect. Only the first surface layer is shown. The most stable configurations of C<sub>60</sub> monolayer on the surface (c) without and (d) with a missing-trimer defect. The gray, light blue, and deep blue balls are for C, Si, and Ag atoms, respectively. Ag atoms in the position of small Ag-trimer and missing-trimer defect sites are highlighted with red color. The Si(111)  $\sqrt{21} \times \sqrt{21}$  super cell is outlined.

given C<sub>60</sub> fullerene. Results of random structure search calculations support this assumption.

Supplementary data to this article can be found online at http:// dx.doi.org/10.1016/j.susc.2013.02.007.

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